8FORM PTO-1390 (REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

13241/14

U.S. APPLICATION NO. (If known, sec 37 CFR 1.5)

INTERNATIONAL APPLICATION NO

INTERNATIONAL FILING DATE

11 August 2004

11 August 2003

PRIORITY DATE CLAIMED:

PCT/JP2004/011788

TITLE OF INVENTION

NEAR-INFRARED RAY ABSORPTION FILM AND PROCESS FOR ITS PRODUCTION, NEAR-INFRARED RAY ABSORPTION FILM ROLL AND PROCESS FOR ITS PRODUCTION, AND NEAR-INFRARED RAY ABSORPTION FILTER

APPLICANT(S) FOR DO/EO/US

MORI, Kenichi; YOSHIMI, Akira; ONOMICHI, Shinya; SHIMIZU, Toshiyuki; KOSAKA, Tasuku; TAKI, Hiroshi; MIZUNO, Naoki; IKEHATA, Yoshitomo; MORISHIGE, Chikao

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. 🗹
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. ☑ items (5), (6), (9) and (21) indicated below.
- 4. 🗆 The US has been elected (Article 31).
- A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. 🗹
  - a.  $\square$  is attached hereto (required only if not communicated by the International Bureau).
  - b. has been communicated by the International Bureau.
  - c.  $\square$  is not required, as the application was filed in the United States Receiving Office (RO/US).
- An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. ☑
  - a. \( \overline{\pi} \) is attached hereto.
  - b. □ has been previously submitted under 35 U.S.C. 154(d)(4).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. 🗹
  - a  $\square$  are attached hereto (required only if not communicated by the International Bureau).
  - b. Dhave been communicated by the International Bureau.
  - c.  $\square$  have not been made; however, the time limit for making such amendments has NOT expired.
  - d. I have not been made and will not be made.
- An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8.
- An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. 🗹
- An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. 🗆 Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 🗆
- An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 🗹
- 13. 🗹 A preliminary amendment.
- An Application Data Sheet under 37 CFR 1.76. 14. 🗆
- A substitute specification. 15.
- A power of attorney and/or change of address letter. 16. 🗆
- A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825. 17. 🗆
- A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. 🗆
- A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. 🗆
- Other items or information: International Search Report, cover sheets of published PCT application 20. 🗹

## IAP5 Rec'd PCT/PTO 10 FEB 2006

U.S. APPLICATION	ON NO. (ii	known, s	ee 37	INTERNATIONAL APPL	ICATION NO	ATTORNEY'S DOC	KET NUMBER
CFR 1.5) •	10	1/5	677	P <b>CT</b> JP2004/01178	8	13241/14	
21. The following	ng fees a	re submi	tted:				
☑ Basic National Fee\$ 300.0						\$ 300.00	
22 🗹 Exam	ination l	Fee					
				report prepared by			
USPTO and all claims satisfy provisions of PCT							
Article 33(1)-(4)						\$ 200.00	
23. ☑ Search Fee						<b>₩</b> 200.00	
Search fee (37 CFR 1.445(a)(2) has been paid on the							
international application to the USPTO as an International Searching Authority							
Searching Authority							
International Search Report prepared and provided to the Office\$400.00						¢ 400.00	
All other situations \$400.00						\$ 400.00	
\$ 500.00							
TOTAL OF ABOVE CALCULATIONS						\$ 900.00	
☐ Additional fee for specification and drawings filed in paper over 100 sheets							
(excluding sequence listing on computer program listing filed in an electronic							
	nedium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra S	Sheets	fractio	er of each additional 50 or on thereof (round up to a number)	RATE		·
314 214/50=		5		x \$250.00	\$1,250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$	
CLAIMS		NUMBER NUMBER FILED		RATE			
Total Claims		24-20 =		4	x \$50.00	\$ 200.00	
Independent C	Claims	4	- 3 =	1	x \$200.00	\$ 200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00						\$ -0-	
TOTAL OF ABOVE CALCULATIONS =						\$2,550.00	
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated						\$ -0-	
above are reduced by ½.						\$2.550.00	
SUBTOTAL =  Processing fee of \$130.00 for furnishing the English translation later than						\$2,330.00	-
30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$ -0-	
TOTAL NATIONAL FEE =							
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must							
be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).  \$40.00 per property						\$ 40.00	
						\$2,590.00	
				***		Amount to be refunded:	\$
			,	a Markatha 4		Amount to be	s
						charged	
b. 🗹 Pi	ease cha		eposit	Account No. 11-0600 neet is enclosed.		above fees is enclo of \$2,590.00 to ove	
c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit							
any overpayment to Deposit Account No. 11-0600. A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (\$7 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:						dia I l	Lwa_
KENYON & KENYON LLP SIGNATURE							
1500 K Street, N.W., Suite 700 (Reg. No. 48,361) Washington, DC 20005 NAME: Cassando							<del></del>
		NO. 23	838		DATE: 10 Februa		